

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al. Art Unit : 3663
 Serial No. : 10/753,524 Examiner : Johannes P. Mondt
 Filed : January 9, 2004 Conf. No. : 7877
 Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE
 SAME

MAIL STOP AMENDMENT

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AL	JAPAN 09-162416 - English abstract provided by esp@cenet Worldwide (2007) and family to U.S. Patent No. 5,922,125 (Desig. ID "AC"), U.S. Patent No. 6,225,645 (Desig. ID "AF") and U.S. Patent Application Publication No. 2001/0022364 A1 (Desig. ID "AA")
AM	JAPAN 10-209465 - English abstract provided by esp@cenet Worldwide (2007) and family to U.S. Patent No. 6,730,932 (Desig. ID "AI"), U.S. Patent No. 6,380,560 (previously cited in Information Disclosure Statement filed on January 9, 2004), and U.S. Patent Application Publication No. 2004/0164300 A1 (Desig. ID "AB")
AN	JAPAN 10-223530 - English abstract provided by esp@cenet Worldwide (2007)
AO	JAPAN 10-247735 - English abstract provided by esp@cenet Worldwide (2007) and family to U.S. Patent No. 6,165,824 (Desig. ID "AE") and U.S. Patent No. 6,479,333 (Desig. ID "AG")
AP	JAPAN 10-301146 - English abstract provided by esp@cenet Worldwide (2007) and family to U.S. Patent No. 6,133,075 (Desig. ID "AD"), U.S. Patent No. 6,524,896 (Desig. ID "AH") and U.S. Patent No. 6,864,127 (Desig. ID "AJ")
AQ	JAPAN 10-301147 - English abstract provided by esp@cenet Worldwide (2007) and family to U.S. Patent No. 6,133,075 (Desig. ID "AD"), U.S. Patent No. 6,524,896 (Desig. ID "AH") and U.S. Patent No. 6,864,127 (Desig. ID "AJ")

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Applicants hereby certify that each item of information contained in this statement, with the exception of references "AA" to "AJ", (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the

communication being dated April 3, 2007, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. References "AA" to "AJ" are English-language documents corresponding to references "AL" to "AQ" as set forth above, and are cited in accordance with MPEP 609(III)(B)(5).

No fees are believed due. Please apply any charges or credits to Deposit Account
No. 06-1050.

Respectfully submitted,

Date: July 2, 2007



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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-218003	Application No. 10/753,524
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Shunpei Yamazaki et al.	
		Filing Date January 9, 2004	Group Art Unit 3663
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2001/0022364 A1	09/20/2001	Zhang			03/12/2001
	AB	2004/0164300 A1	08/26/2004	Yamazaki et al.			01/09/2004
	AC	5,922,125	07/13/1999	Zhang			12/10/1996
	AD	6,133,075	10/17/2000	Yamazaki et al.			04/24/1998
	AE	6,165,824	12/26/2000	Takano et al.			03/03/1998
	AF	6,225,645 B1	05/01/2001	Zhang			05/03/1999
	AG	6,479,333 B1	11/12/2002	Takano et al.			03/21/2000
	AH	6,524,896 B1	02/25/2003	Yamazaki et al.			07/14/2000
	AI	6,730,932 B2	05/04/2004	Yamazaki et al.			03/26/2002
	AJ	6,864,127 B2	03/08/2005	Yamazaki et al.			02/04/2003
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	09-162416	06/20/1997	JAPAN			AB	
	AM	10-209465	08/07/1998	JAPAN			AB	
	AN	10-223530	08/21/1998	JAPAN			AB	
	AO	10-247735	09/14/1998	JAPAN			AB	
	AP	10-301146	11/13/1998	JAPAN			AB	
	AQ	10-301147	11/13/1998	JAPAN			AB	

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AR	
	AS	
	AT	
	AU	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	